

File No.: 9-15497-6US-1

January 20, 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** **Tigran GALSTIAN, et al.**  
**Serial No.:** **not yet known**  
**Filed:** **concurrently herewith**  
**Title:** **METHOD AND APPARATUS FOR CHANNEL SELECTIVE  
CONTROL OF LIGHT PROPAGATION IN AN OPTICAL  
WAVEGUIDE**

**Agent of Record:** **Kent Daniels** **Tel: (613) 780-8673**

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Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
U.S.A.

Sir:

**INFORMATION DISCLOSURE STATEMENT**  
**PRIOR TO FIRST OFFICE ACTION**

Pursuant to the duty of disclosure under 37 CFR 1.56, copies of the references listed on the attached PTO Form 1449 are submitted herewith.

The Examiner is kindly requested to consider these references during the examination of the above-identified application, making the references of record, and to return an initialed copy of the PTO-1449 Form to the below-signed agent.

In accordance with 37 CFR 1.97(h), the submission of the present information is not to be construed as an admission that such information is, or is considered to be material to patentability.

Respectfully submitted,

By:   
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Encls.

Form PTO-1449 (Rev.7-80)	U.S. Department of Commerce Patent & Trademark Office	ATTY.DOCKET NO. 9-15497-6US-1	SERIAL NO.
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT Tigran GALSTIAN, et al.	
		FILING DATE:	GROUP

## U.S. PATENT DOCUMENTS

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date
	AA	4,097,117	Jun. 27, 1978	Neil et al.	350	96.17	Oct. 30, 1975
	AB	4,227,769	Oct. 14, 1980	Phillips et al.	350	96.19	Jul. 24, 1978
	AC	4,986,624	Jan. 22, 1991	Sorin et al.	350	96.19	Jan. 20, 1988
	AD	6,058,226	May 2, 2000	Starodubov	385	12	Oct. 24, 1997
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		Document number	Date	Country	Class	Subclass	Translation
	AL						
	AM						
	AN						
	AO						
	AP						

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

Examiner	Date considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	